### INDIANA STATE ETHICS COMMISSION



## ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST – DECISIONS AND VOTING

AUG 2 3 2019

State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9

FILED



days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website. Name (last) Name (first) Name (middle) Marie Tachtiris Valerie Job title Name of office or agency Deputy General Counsel Indiana Department of Environmental Management ZIP code Address of office (number and street) City 100 N. Senate Ave Indianapolis 46204 Office telephone number Office e-mail address (required) (317) 234-8884 vtachtir@idem.in.gov Describe the conflict of interest: I am the board chair for NoExit Performance, a 501(c)(3) corporation and local theater company. NoExit intends to put on several outdoor performances in September and October at site of the former GE Stamping plant in Indianapolis. The Ambrose Corp is providing access to the site (at a cost) to NoExit, and may also be involved in sponsoring the show. The site is currently part of remediation activities associated with the RACER trust, and as owners of the site, Ambrose is considered a potentially responsible party. It is my understanding that Ambrose have been in talks with IDEM about their role in the remediation and potential exposure.

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7)

Describe the screen established by your ethics officer: (Attach additional pages as needed.)	
} 	
AFFIRMATION	
Your signature below affirms that your disclosures on this form are true, complete, an knowledge and belief. In addition to this form, you have attached a copy of your written appointing authority and ethics officer.	d correct to the best of your en disclosure to your agency
Signature of state officer, employee or special state appointee	Date signed (month, day, year)
Printed full name of state officer, employee or special state appointee	8 23  17
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FOR ETHICS OFFICER USE ONLY	
Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.	
Signature of ethics officer	Date signed (month, day, year)
Printed full name of ethics officer	,

# Protocol to Screen IDEM Office of Legal Counsel's Deputy General Counsel Valerie Tachtiris from Any Matters Regarding The Ambrose Corp. and NoExit Performance

Valerie Tachtiris is employed by the Indiana Department of Environmental Management ("IDEM") as a Deputy General Counsel ("DGC"") within the Office of Legal Counsel ("OLC"). Her current duties include work as an attorney and co-supervision of other attorneys within OLC.

DGC Tachtiris is the board chair for a nonprofit theater company NoExit Performance, a 501(c)(3) corporation, who are engaged in a business relationship with a Potentially Responsible Party at a remediation site. Specifically, the Ambrose Corp ("Ambrose") is providing NoExit Performance access at a cost to the former GM Stamping plant grounds for outdoor performances in September and October of 2019. The remediation of the grounds is part of the RACER trust, but Ambrose could also have some liability. It is DGC Tachtiris' understanding that they have been in talks with IDEM about their role and potential exposure.

DGC Tachtiris attended a few meetings in her supervisory role regarding the GM Stamping plant, most recently in early 2018, but has not been involved with Ambrose or the site in a decision making

capacity, nor was she the attorney assigned to the matter.

Therefore, and because of her supervisory role within OLC, IDEM will institute the following protocol to make sure DGC Tachtiris is screened from any potential conflict of interest.

- 1. General Counsel ("GC") Nancy King is DGC Tachtiris' immediate supervisor. GC King will not assign any current or future matter that may arise involving The Ambrose Corp. or NoExit Performance to DGC Tachtiris.
- DGC Tachtiris shall recuse herself from the portion of any meeting in which discussions specific
  to The Ambrose Corp. or NoExit Performance, or any of their clients or interests are discussed or
  are expected to be discussed.
- 3. DGC Tachtiris shall not discuss any matter involving The Ambrose Corp. or NoExit Performance or their clients with any other IDEM employee.
- 4. DGC Tachtiris shall not participate in decisions regarding or relating to The Ambrose Corp. or NoExit Performance or any of their clients.
- 5. DGC Tachtiris shall not access any correspondence or files relating to or involving The Ambrose Corp. or NoExit Performance or their clients. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where DGC Tachtiris does not have access to alter the documents.
- 6. DGC Tachtiris shall immediately inform her supervisor and IDEM Ethics Officer James French if any provision of this screening protocol is violated.
- 7. DGC Tachtiris shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
- 8. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.

Valerie Tachtiris

Deputy General Counsel

Office of Legal Counsel

General Counsel Office of Legal Counsel

James French **Ethics Officer** 

Office of Legal Counsel

8/21/19

From:

Tachtiris, Valerie

To:

PIGOTT, BRUNO

Cc:

KING, NANCY; Dorsey, Peggy; WEBB, COREY; French, James M

Subject: Date:

Identification of conflict and screen Thursday, August 15, 2019 11:19:06 AM

Attachments:

image002.png image003.png image004.png image005.png image006.png

tachtiris NoExit GE Stamping Plant screen.docx

### Commissioner Pigott,

I'm the board chair for a nonprofit theater company, and we are engaged in a business relationship with a PRP at a remediation site. Specifically, the Ambrose Corp is providing access (at a cost) to the former GE Stamping plant grounds for outdoor performances in October and may be providing additional sponsorship to the nonprofit. The remediation of the grounds is part of the RACER trust, but Ambrose could also have some liability, and it's my understanding that they have been in talks with the agency about their role and potential exposure. Due to this potential conflict, Michael has drafted a screen for the GE Stamping Plant site. Please exclude me from discussions regarding this site. If you have any guestions, let me know.

#### Thanks!



Valerie Tachtiris Deputy General Counsel

(317) 234-8884 • vtachtir@idem.IN.gov

Indiana Department of Environmental Management











IDEM values your feedback.

Please take two minutes and complete this brief survey.